

# CONSORTIUM FISCAL ADMINISTRATION DECLARATION

## SOUTH ORANGE COUNTY REGIONAL CONSORTIUM (SOCRC)

### CONSORTIUM INFORMATION

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#### Organizational Information

<b>Consortium Name</b>	62 South Orange
<b>Long Name</b>	South Orange County Regional Consortium (SOCRC)
<b>Short Name</b>	SOUTH ORANGE
<b>Address</b>	28000 Marguerite Parkway Mission Viejo, CA 92692
<b>Website</b>	<a href="http://www.socccd.edu">www.socccd.edu</a> ; <a href="http://www.ivc.edu">www.ivc.edu</a>

#### Reporting Period

<b>Start Date</b>	07/01/2016
<b>End Date</b>	06/30/2017

#### 2015 - 16 Grant Period

<b>2015 - 16 Grant Number</b>	15-328-062
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#### Consortium Administration

<b>Primary Contact(s)</b>	<b>Greiner, Cathleen</b> <i>Dean Extended and Online Education</i> (949) 451-5565 <a href="mailto:cgreiner@ivc.edu">cgreiner@ivc.edu</a>
	<b>Garrison, Estella</b> <i>Dean Community Education, Emeritus Institute, and K-12 Partnerships</i> (949) 582-4326 <a href="mailto:egarrison@saddleback.edu">egarrison@saddleback.edu</a>

## MEMBERS

Member Name	Contact(s)	District / LEA Code
Irvine Unified School District	<b>Roberts, Rebecca</b> (949) 936-7405 <a href="mailto:rebeccaroberts@iusd.org">rebeccaroberts@iusd.org</a>	73650
Tustin Unified School District	<b>Nielsen, Kathie</b> (714) 730-7395 <a href="mailto:knielsen@tustin.k12.ca.us">knielsen@tustin.k12.ca.us</a>	73643
Saddleback Valley Unified School District	<b>Gordon, David</b> (949) 589-7456 <a href="mailto:david.gordon@svusd.org">david.gordon@svusd.org</a>	73635
Laguna Beach Unified School District	<b>Messinger, Darlene</b> (949) 497-7700 <a href="mailto:dmessinger@lbusd.org">dmessinger@lbusd.org</a>	66555
Capistrano Unified School District	<b>Patterson, Marc</b> (949) 234-5320 <a href="mailto:mbpatterson@capousd.org">mbpatterson@capousd.org</a>	66464
South Orange County Community College District	<b>Greiner, Cathleen</b> <i>Dean Extended and Online Education</i> (949) 451-5565 <a href="mailto:cgreiner@ivc.edu">cgreiner@ivc.edu</a>  <b>Garrison, Estella</b> <i>Dean Community Education, Emeritus Institute, and K-12 Partnerships</i> (949) 582-4326 <a href="mailto:egarrison@saddleback.edu">egarrison@saddleback.edu</a>  <b>Fitzsimmons, Debra</b> <a href="mailto:dfitzsimmons@socccd.edu">dfitzsimmons@socccd.edu</a>	00890
South Coast Regional Occupational Program	<b>Romo, Patricia</b> (949) 234-9464 <a href="mailto:pjromo@capousd.org">pjromo@capousd.org</a>	10997

## FISCAL MANAGEMENT

### Funding Channel

The consortium has designated a fiscal agent

### Rationale

The consortium has designated the South Orange County Community College District (SOCCCD) as its Fiscal Agent. The rationale for this fiscal structure and decision is that both participating community colleges are housed within the South Orange County Community College District. The overwhelming benefits to this structure are that all fiscal processes will be consistent & streamlined, providing continuity for the colleges' unified school districts. The District's existing industry recognized fiscal practices, policies, its ability to maintain and provide all reports, management of grant funds, all within an infrastructure to ensure state, federal, and grant compliance, proper accounting, and allocation/pass through of funding to all partners.

### Approach

Fiscal agent: SOCCCD will continue receive the funds from the state and set up Memorandums of Understanding /Agreements with Member districts and agencies. SOCCCD will continue to manage fiscal reports and certify them for the state. Member districts will receive their funds from the Fiscal Agent through direct allocation. Subsequent direction of funding will be further described in partner MOUs/Agreements as it pertains to the allocation and use of funds in the southern region of the consortium. SOCCCD will act as the pass through entity to ensure funds are allocated and disbursed per state and consortium guidelines to ensure grant, institutional, and student success. Agreements will be maintained to ensure compliance, transparency, and greater understanding and conformity across agency members. SOCCCD Colleges (Irvine and Saddleback) will receive a fee of 5% to cover all fiscal, reporting, grant related management, and administrative tasks/costs.

The block grant allocation is being fiscally managed in compliance with all OMB and AEBG regulations for allowable and unallowable expenditures. Expenditures from grantees are being accounted for and rolled up through the SOCCCD Office of Business Services. Both the SOCCCD and the individual grantees are using common break out budgets and expenditures by member to keep internal accounting procedures clear. Established object, program and objective codes are also being used.

**Certifying Official / Coordinator**

Fitzsimmons, Debra

**Certifying Official / Coordinator's Email**

[dfitzsimons@socccd.edu](mailto:dfitzsimons@socccd.edu)

## ALLOCATION SCHEDULE

**15-16 AEBG Funds**

<b>15-16 AEBG Entitlements</b>	\$4,513,271
<b>15 - 16 Member Allocations</b>	\$0

**16-17 AEBG Funds**

<b>16-17 AEBG Entitlements</b>	\$4,579,171
<b>16 - 17 Member Allocations</b>	\$4,579,171

## MEMBER ALLOCATIONS

#	Member Name	Consortium Allocations (15-16)	Consortium Allocations (16-17)
1	Irvine Unified School District	\$300,000	\$300,000
2	Tustin Unified School District	\$822,723	\$822,723
3	Saddleback Valley Unified School District	\$861,712	\$861,712
4	Laguna Beach Unified School District	\$91,735	\$91,735
5	Capistrano Unified School District	\$1,039,691	\$1,039,691
6	South Orange County Community College District	\$1,397,410	\$1,463,310
<b>Grand Total</b>		<b>\$4,513,271</b>	<b>\$4,579,171</b>

**Funding Changes**

Total allocations by member for the 2015 16 grant year, as well as projected allocations for 201617. The additional funding will be used to support AEBG efforts.  
Attachment 3  
\*Note: Variance from first submission was that SOCCCD allocation needed to include fiscal management amount, which has now been adjusted above.

**Consensus and Approval Process**

All grantees under this project have had ample opportunities to discuss the allocations under this grant. Our meeting minutes of the consortium provide evidence of these opportunities and the approval of funding allocations by all parties involved in the project. The Consortium Allocations for 2015-2016, 2016-2017, and 2017-2018 were made by the Superintendents from the K-12 Districts and were submitted into the Governance Plan which received consensus at the time of submission to the state.

## OTHER RULES AND PROCEDURES

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### **Additional Information**

The SOCRC is now up and running, serving Adult Education Students in the South Orange County Region. We have been highly responsive to the needs of English Language Learners, Adults seeking completion of their high school equivalent, adults with disabilities, career technical education, and other continuing education opportunities. Enrollments in Adult Education Courses have increased as a result of the AEBG funding and improvements arising from it.

### **Supplementary Documentation**

[aebgcfadsignaturesfinal.pdf](#)

## SIGNATURE BLOCKS

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### **Saddleback Valley Unified School District**

*Gordon, David*

### **Laguna Beach Unified School District**

*Messinger, Darlene*

### **Tustin Unified School District**

*Nielsen, Kathie*

### **Coastline ROP**

*Hume, Carol*

### **Capistrano Unified School District**

*Patterson, Marc*

### **Irvine Unified School District**

*Roberts, Rebecca*

### **South Coast Regional Occupational Program**

*Romo, Patricia*

### **Orange County Department of Education**

*Vachet, Mary Lou*